



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#15621
PATENT
11-7-02

In re application of: Joseph P. Bigus et al. : Date: October 24, 2002
Group Art Unit: 3621 : IBM Corporation
Examiner: F. Backer : Intellectual Property Law
Serial No.: 09/431,833 : Dept. 917, Bldg. 006-1
Filed: November 2, 1999 : 3605 Highway 52 North
Title: INTELLIGENT AGENT WITH : Rochester, MN 55901
NEGOTIATING CAPABILITY AND
METHOD OF NEGOTIATION
THEREWITH

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

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October 24, 2002
(Date of Deposit)

Debra A. Peterson
Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest

Serial No. 09/431,833
Docket No. RO996-054LB

PATENT

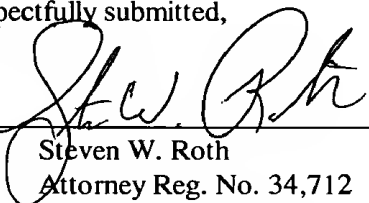
to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97© before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

By


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CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.


Steven W. Roth

October 24, 2002
Date

SWR

*** Information Materials for IDS ***

To ROC IP Law Docket Number R249-1996-0054 Date October 1, 2002Prepared by Taisei Fukuda Date of JPO Office Action July 3, 2002**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	Study Report of Information Processing Associate Vol 95, No. 105 95-AI-102	11/07/95	Y	1, 11, 12, 17, 18
B	Study Report of Information Processing Associate Vol 96, No. 105 96-AI-106	10/30/96	Y	1, 11, 12, 17, 18
C	PUPA 4-360237	12/14/92	Y	1, 11, 12, 17, 18
D	PUPA 7-200300	8/4/95	Y	1, 11, 12, 17, 18
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<NOTE>

- ☐ PUPA : Published Unexamined Patent Application ☐ PEPA : Published Examined Patent Application
☐ PUUMA : Published Unexamined Utility Model Application ☐ PEUMA : Published Examined Utility Model Application
☐ JP : Japanese Patent ☐ * : Reference being filed before and published after the priority application date of the subject docket

to be continued ☐**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA		bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued ☐

OCT 17 2002